### **Modelling Human Uncertainty** How to teach machines when experts disagree with each other





### **Ryutaro Tanno** University College London, UK

### Microsoft<sup>®</sup> Research



• Predict labels (e.g. dog or cat) from the given input (e.g. pictures).

- Predict labels (e.g. dog or cat) from the given input (e.g. pictures).
- Trained with **many** examples of inputs and labels

- Predict labels (e.g. dog or cat) from the given input (e.g. pictures).
- Trained with **many** examples of inputs and labels















- Predict labels (e.g. dog or cat) from the given input (e.g. pictures).
- Trained with **many** examples of inputs and labels "cat"



"dog"

"dog"



"dog"







"cat"

"cat"



"dog"



- Predict labels (e.g. dog or cat) from the given input (e.g. pictures).
- Trained with **many** examples of inputs and labels  $\bullet$ "cat"



"dog"

"dog"



"dog"





• **Clean** data => great performance!



"cat"

"cat"



"dog"



### Deep Learning in the "wild"

- Multiple annotators of different skill levels and biases

- Multiple annotators of different skill levels and biases



- Multiple annotators of different skill levels and biases



David (bird expert)

"Canada Goose"

"Red-necked Grebe"

"Am. Black Duck"

- Multiple annotators of different skill levels and biases



David (bird expert)

"Canada Goose"

"Red-necked Grebe"

"Am. Black Duck"

Hannah (amateur bird watcher)

"Am. Black Duck"

"Red-necked Grebe"

"Canada Goose"

- Multiple annotators of different skill levels and biases



David (bird expert)

"Canada Goose"

"Red-necked Grebe"

"Am. Black Duck"

### Hannah (amateur bird watcher)

"Am. Black Duck"

Alex (engineer)

"Bird"

"Red-necked Grebe"

"Canada Goose"

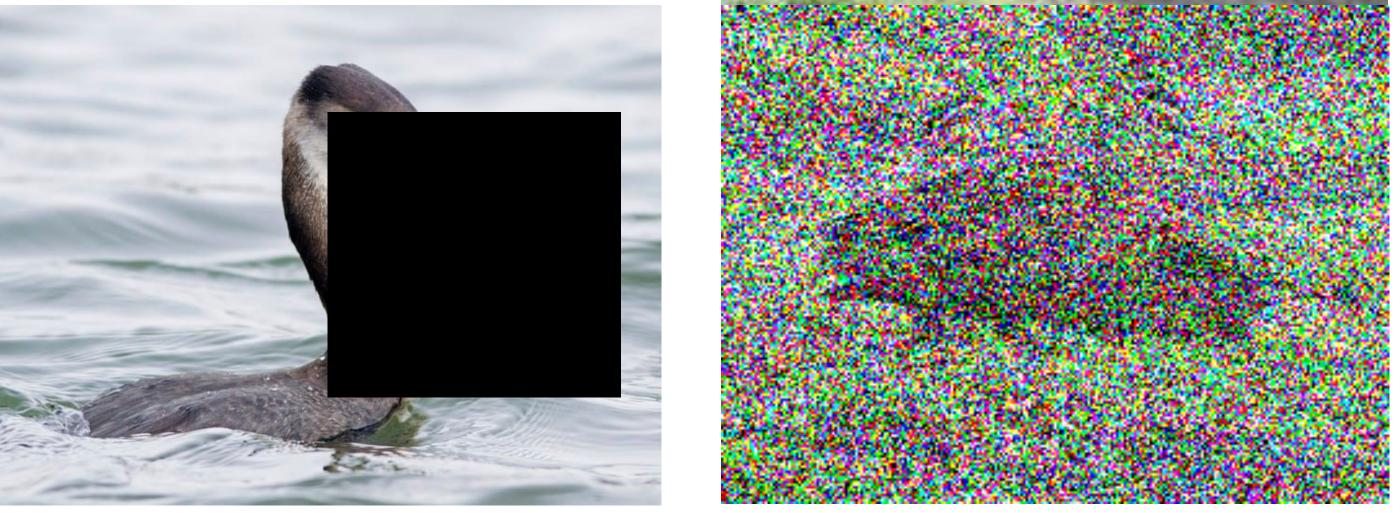
"Bird"

"Bird"

## Deep Learning in the "wild"

• Input can also be noisy! e.g. hard to interpret / nebulous images



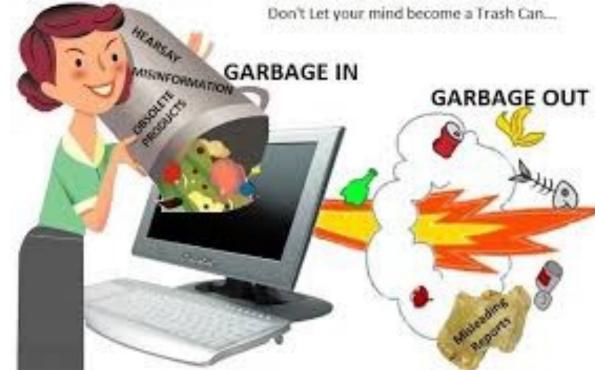


### • But, not the focus of this talk.





### Problems







Data curation is time-consuming and suboptimal

### Problems



### **Cleaning Big Data: Most Time-Consuming, Least Enjoyable** Data Science Task, Survey Says



**Gil Press** Contributor (i) *I write about technology, entrepreneurs and innovation.* 





Data curation is time-consuming and suboptimal

• High inter-reader variability in radiology

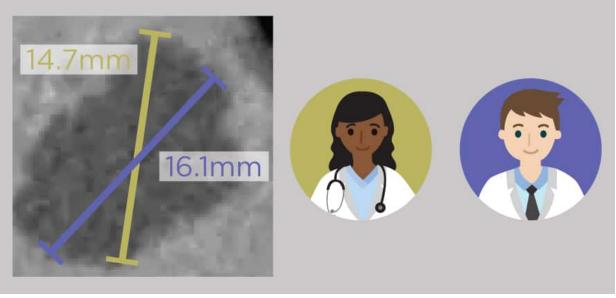
### Problems



### **Cleaning Big Data: Most Time-Consuming, Least Enjoyable** Data Science Task, Survey Says



**Gil Press** Contributor ① *I write about technology, entrepreneurs and innovation.* 



(Watadani et al., Radilogy 2013), (Lazarus et al., Radiology 2006), (Warfield et al., TMI 2004), many others







Data curation is time-consuming and suboptimal

• High inter-reader variability in radiology

 Majority vote ("Wisdom of Crowds") is not always a solution! (1) **Expensive**, (2) **Rare experts** 

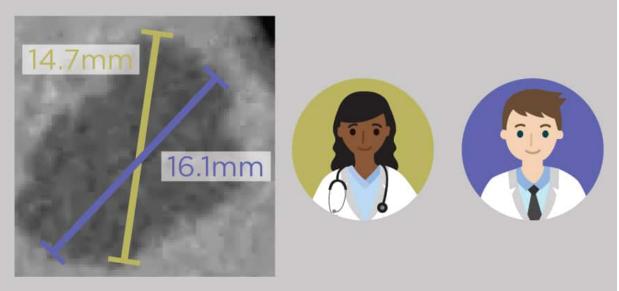
### Problems



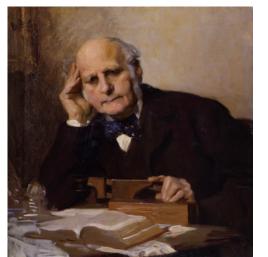
### **Cleaning Big Data: Most Time-Consuming, Least Enjoyable** Data Science Task, Survey Says



**Gil Press** Contributor () *I write about technology, entrepreneurs and innovation* 



(Watadani et al., Radilogy 2013), (Lazarus et al., Radiology 2006), (Warfield et al., TMI 2004), many others





Francis Galton, 1907









# Simultaneously model **uncertainty of annotators** & **true label distribution**.



# Simultaneously model **uncertainty of annotators** & **true label distribution**.

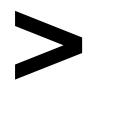
### => Automate data curation



# Simultaneously model **uncertainty of annotators** & **true label distribution**.

- => Automate data curation
- => Improve future label acquisition











## Set-up

- Multiple annotators
- At least 1 label per image
- No meta-information e.g. expert level, reviews, etc
- No "golden" data
- **Task:** classification





 "Learning From Noisy Labels By Regu CVPR 2019

### • "Learning From Noisy Labels By Regularized Estimation Of Annotator Confusion",

- **CVPR 2019**
- - Multi-class & integrates CNN as a component
  - Simpler optimisation, amenable to sparse labels

"Learning From Noisy Labels By Regularized Estimation Of Annotator Confusion",

• An extension of "Whom to trust when everyone lies a bit", [Rayker, ICML 2009]

- **CVPR 2019**
- - Multi-class & integrates CNN as a component
  - Simpler optimisation, amenable to sparse labels
- Models the uncertainty of each annotator with a confusion matrix.

"Learning From Noisy Labels By Regularized Estimation Of Annotator Confusion",

• An extension of "Whom to trust when everyone lies a bit", [Rayker, ICML 2009]

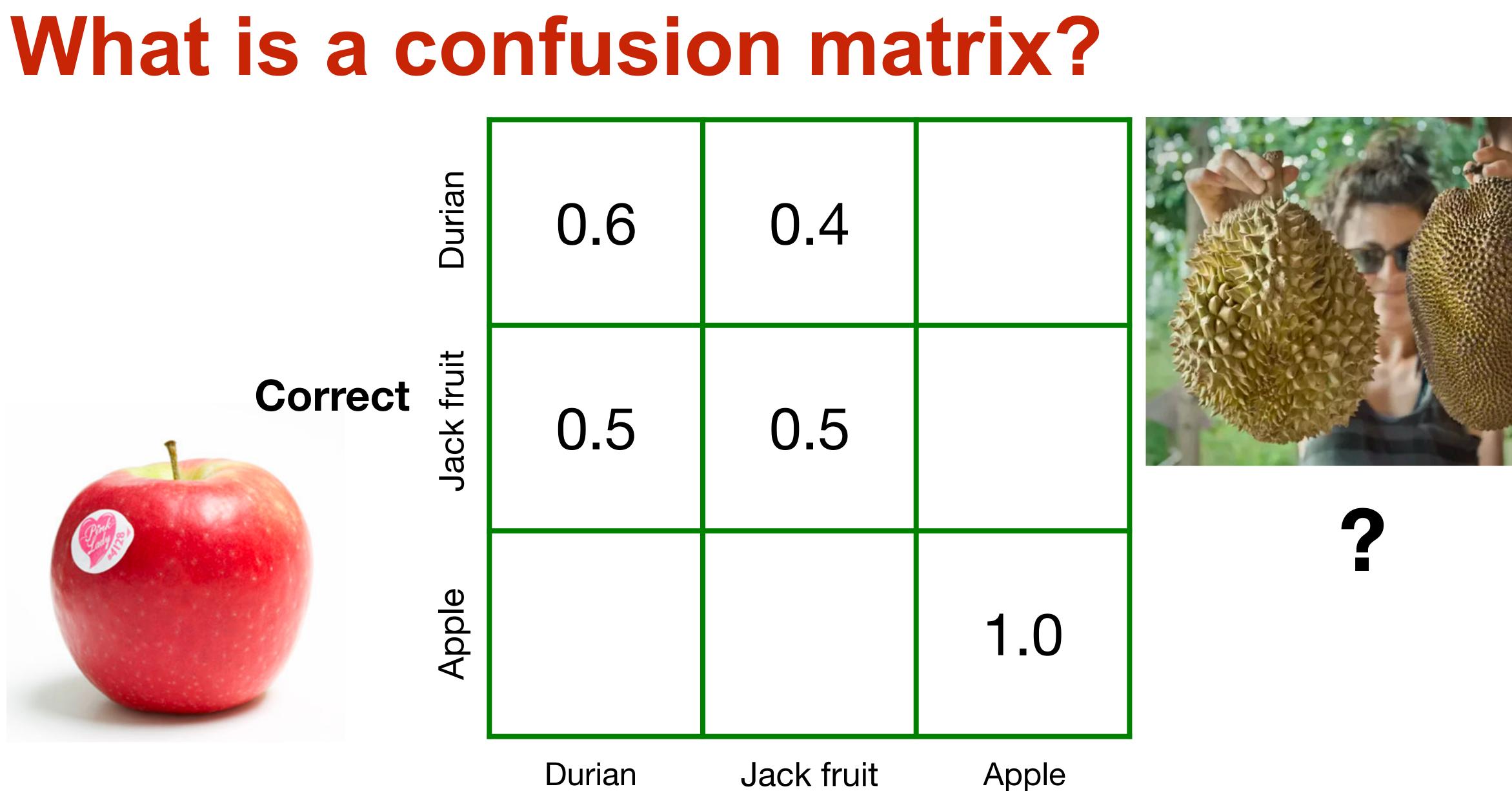
- **CVPR 2019**
- An extension of "Whom to trust when everyone lies a bit", [Rayker, ICML 2009] Multi-class & integrates CNN as a component

  - Simpler optimisation, amenable to sparse labels
- Models the uncertainty of each annotator with a confusion matrix.
- Use this confusion matrix to "correct" noisy labels to learn true label distribution.

"Learning From Noisy Labels By Regularized Estimation Of Annotator Confusion",



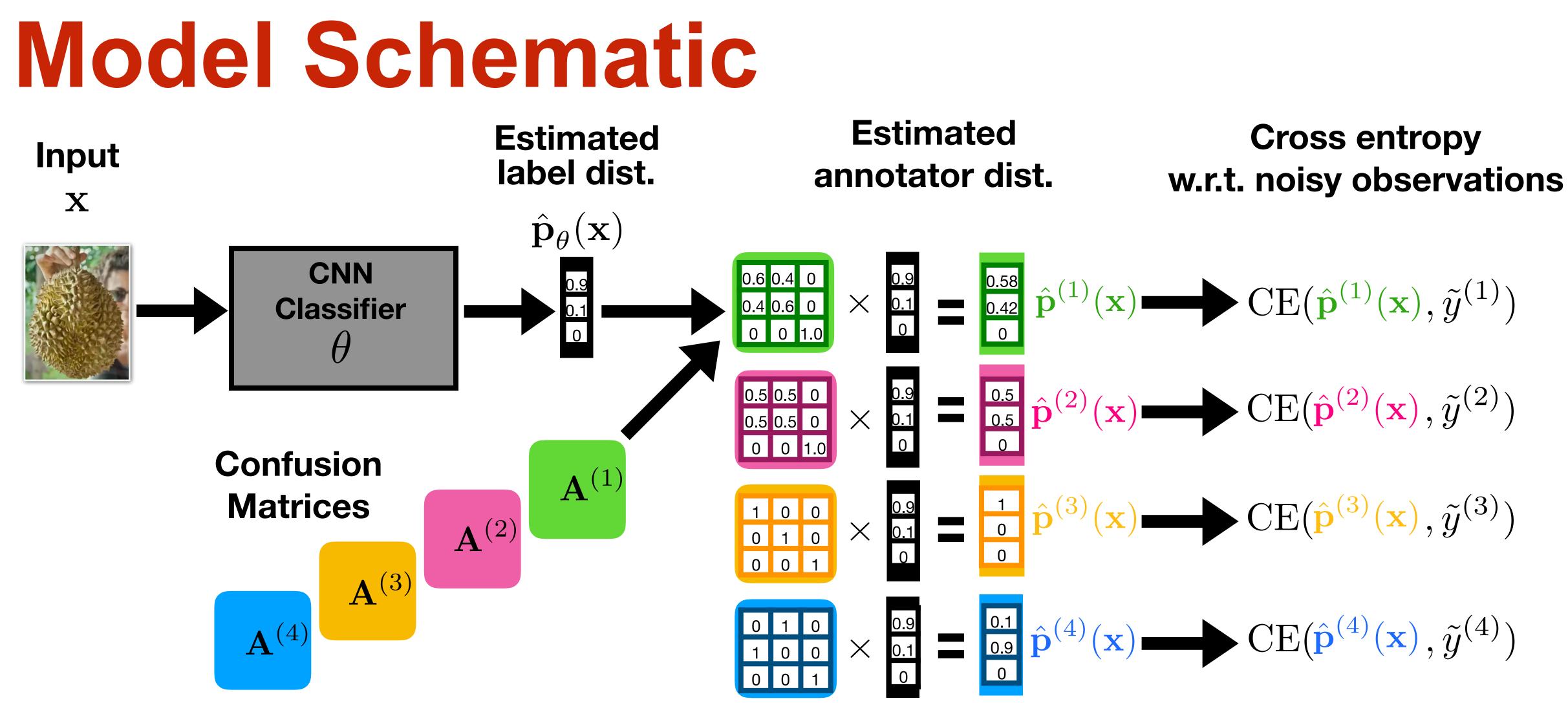




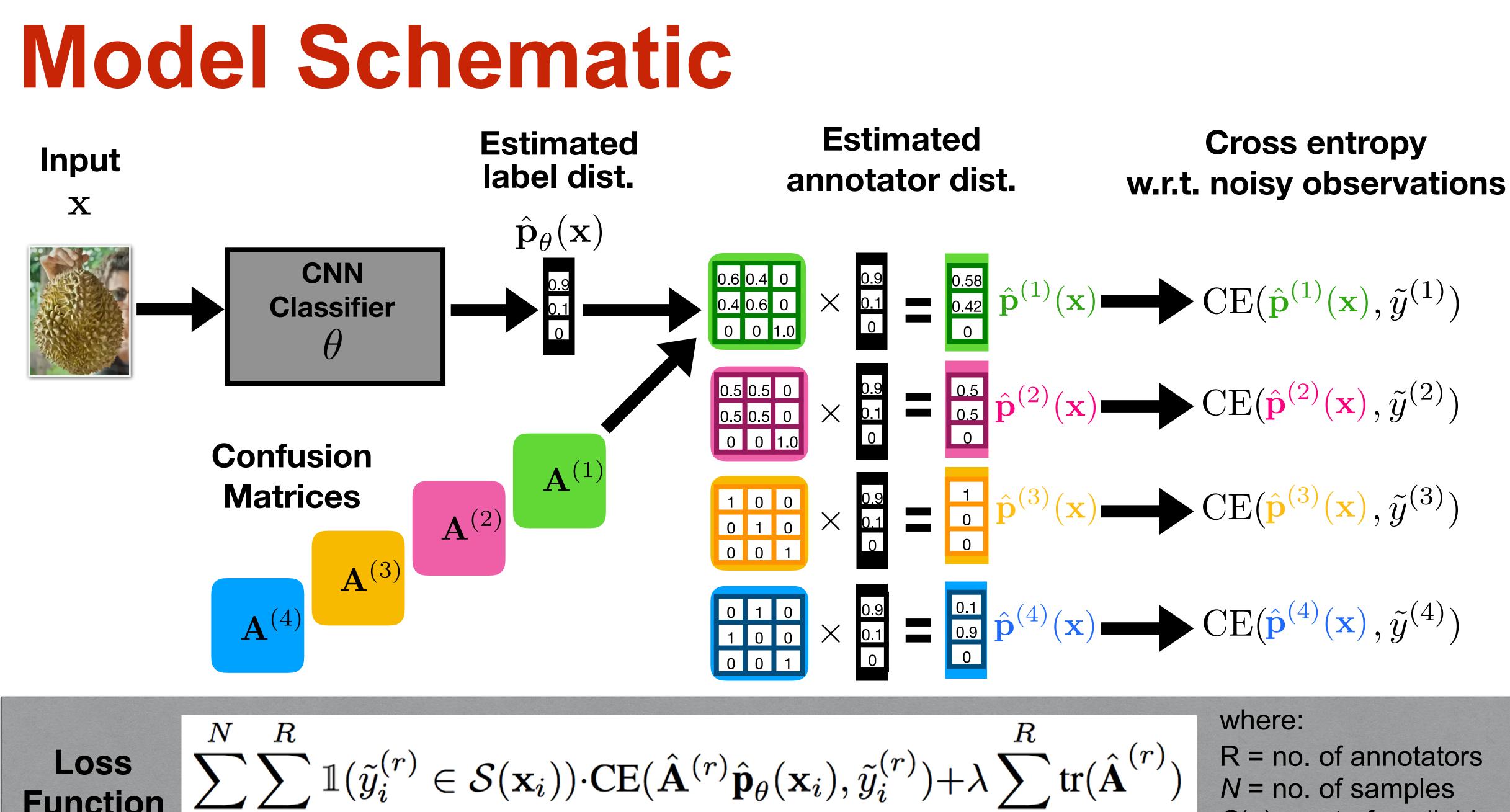
Durian

### **Predictions**



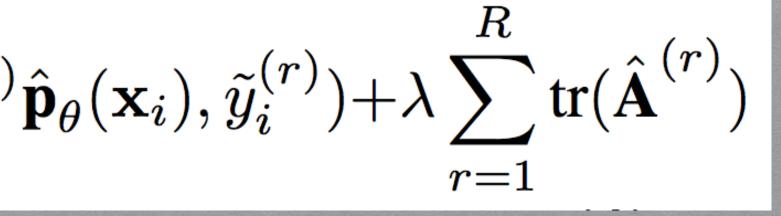






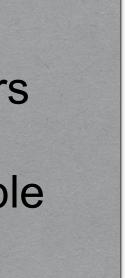
**Function** 

i = 1 r = 1



R = no. of annotatorsN = no. of samples $S(\mathbf{x}) = \text{set of available}$ labels for x



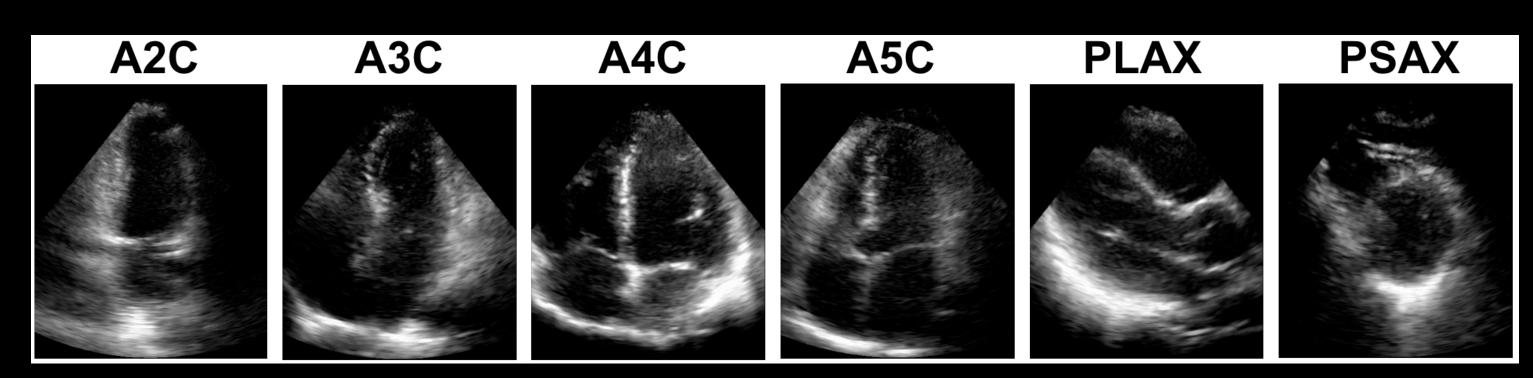


# Experiments

MNIST digit classification dataset



### Ultrasound Cardiac View Classification



Can the model curate and learn simultaneously?

### Experiment 1: demo on a diverse annotator group

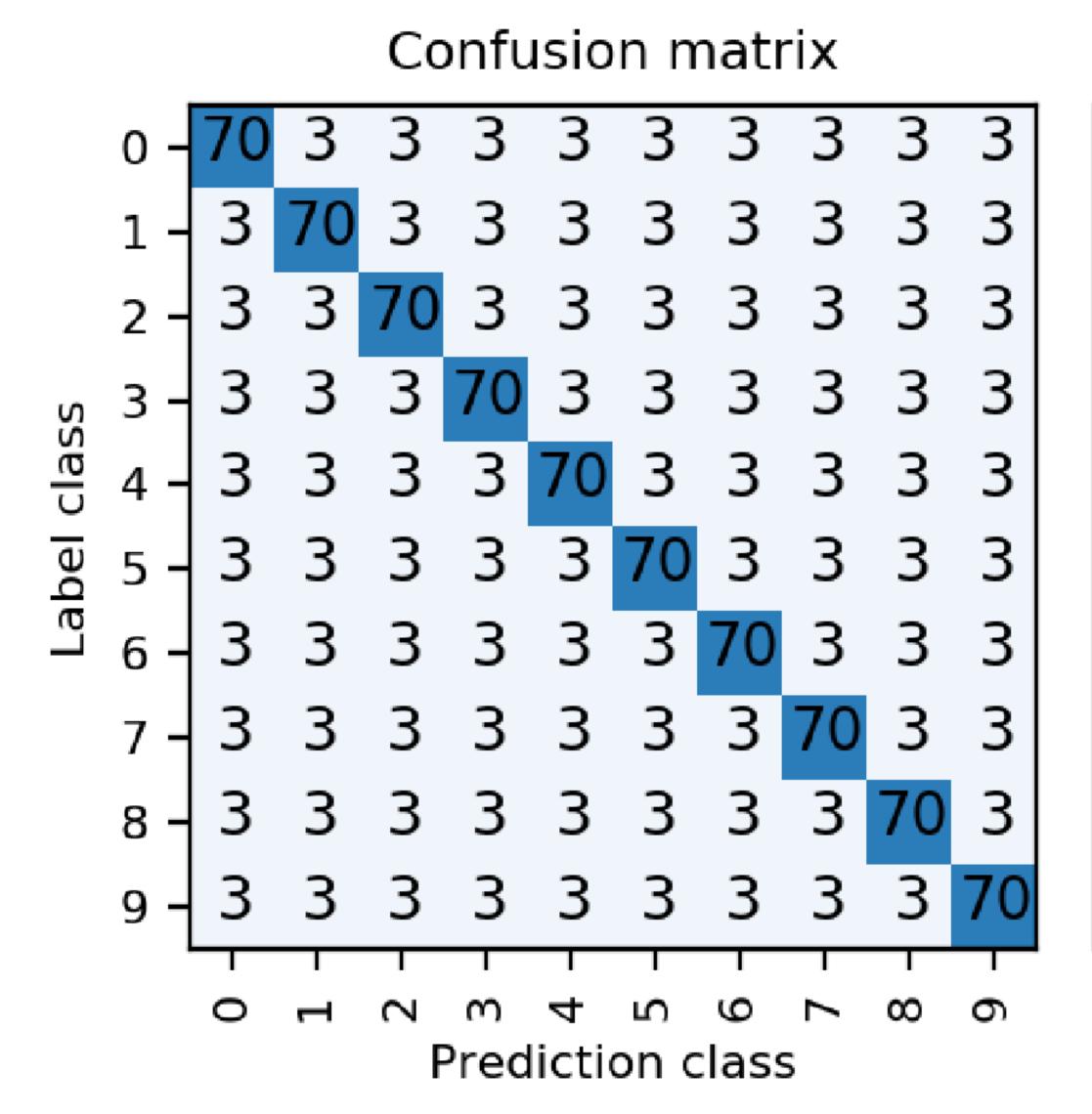
### Experiment 1: demo on a diverse annotator group

75

50

25

0

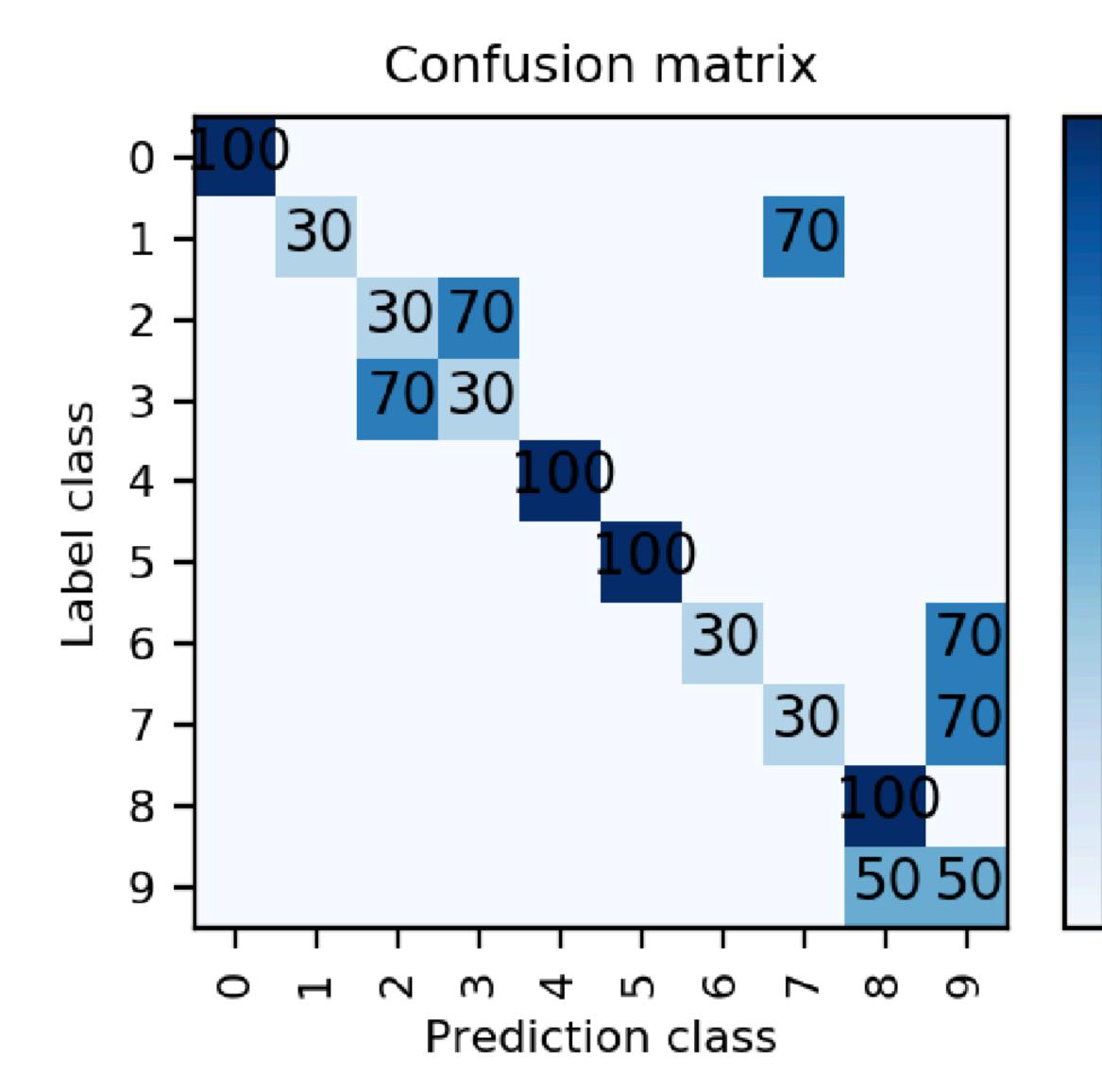


Name: A+ Alice 100

Accuracy: 70 %

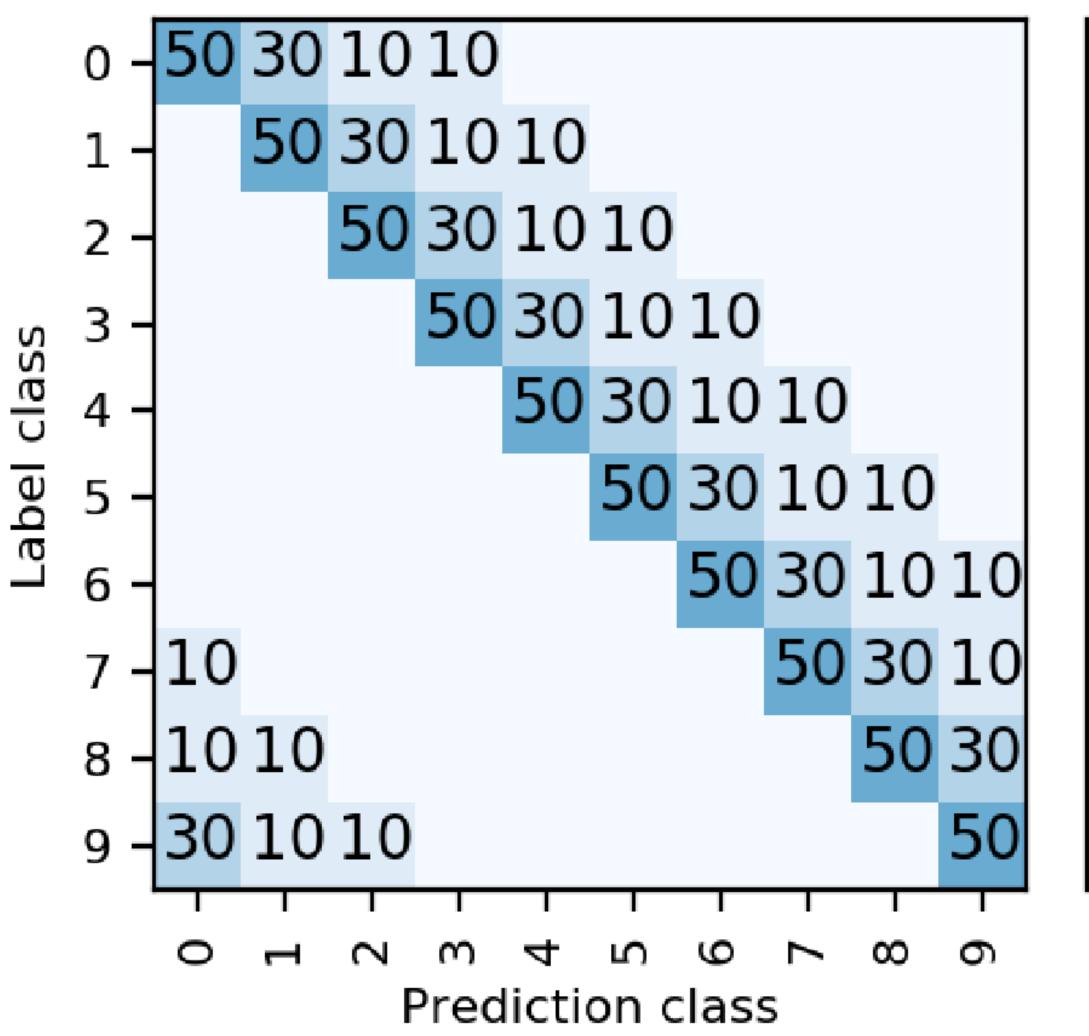
**Characteristics**: she whimsically assigns random labels 30% of the time.





Name: A-Andy 100 Accuracy: 60 % 75 **Characteristics**: He is not very good at 50 discriminating similar looking numbers. 25 Flips labels as follows: 1 => 7, 2 <=> 3, 0 6 => 9, 7=>9, 9 => 8



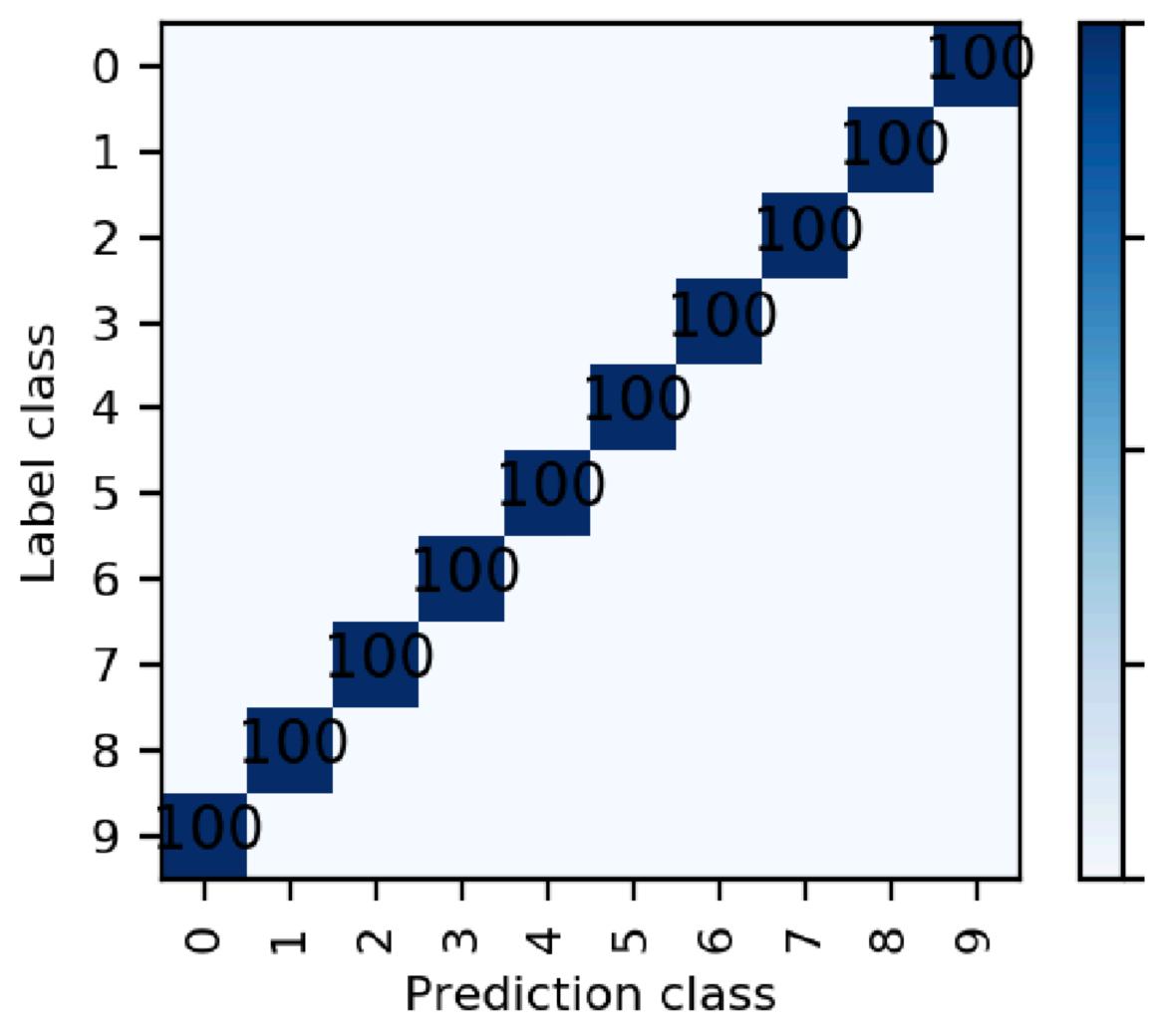


- Name: Solid C, Carla
- Accuracy: 50 %
- Characteristics:
  50 He is not very good at discriminating neighboring digits.
- <sup>25</sup> E.g. 1 and 2, 2 and 3, etc

- 0



#### Confusion matrix



100 Name: Failing Frank

```
Accuracy: 0 %
```

75

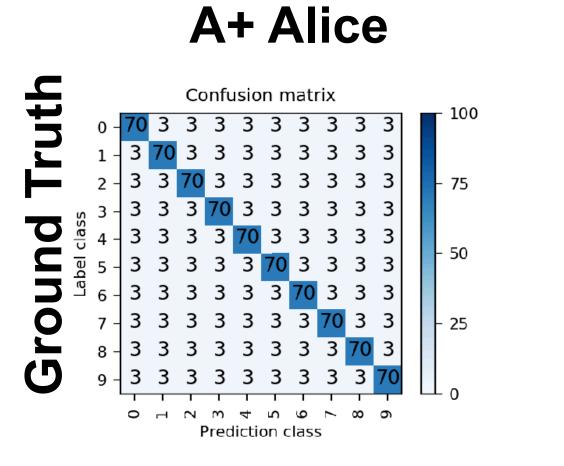
50

25

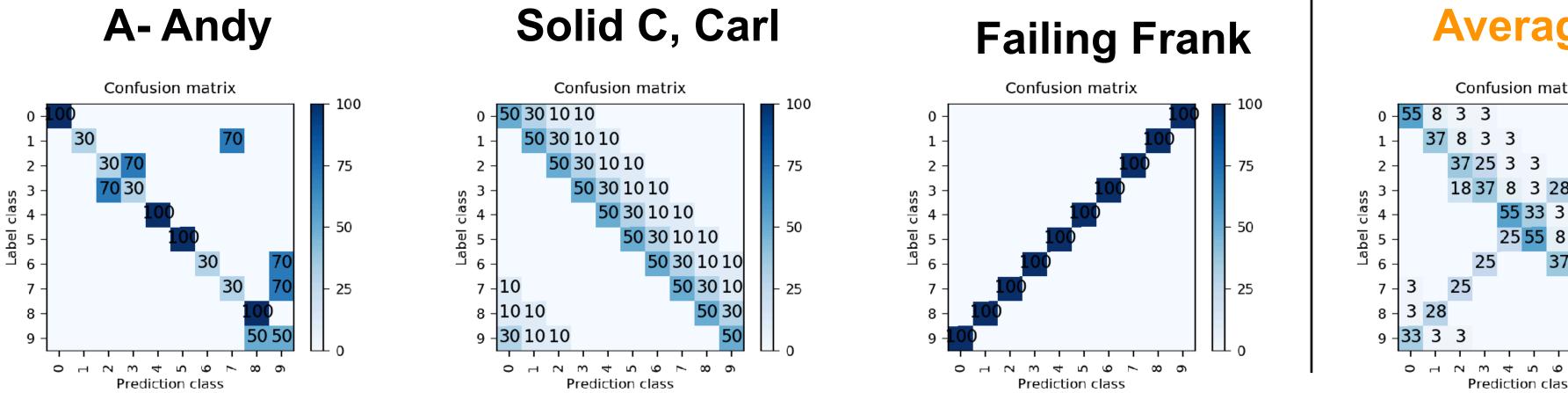
Characteristics: In his head, 1 is 9 2 is 8 3 is 7 .... 9 is 1.

# **Curation Results**

Now train our model on labels obtained from these people ...



#### A-Andy





37 25 3 3

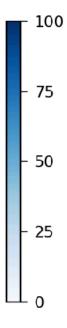
25

1837 8 3 28

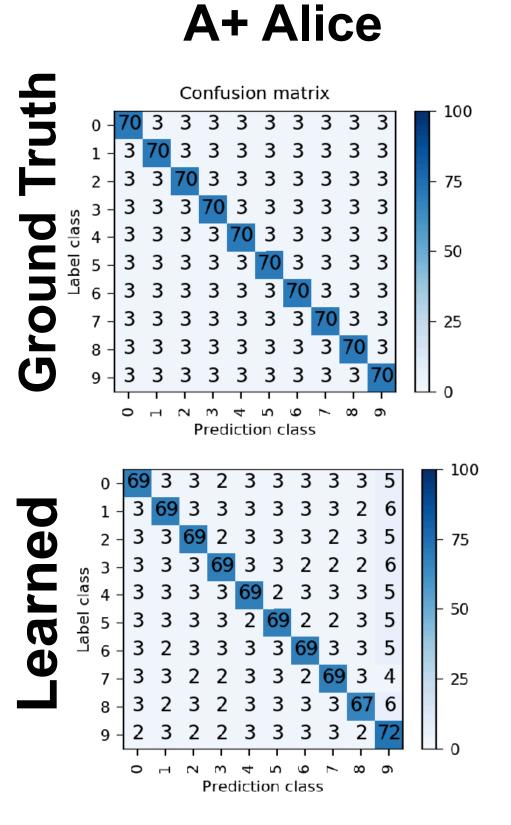
25 55 8

Prediction class

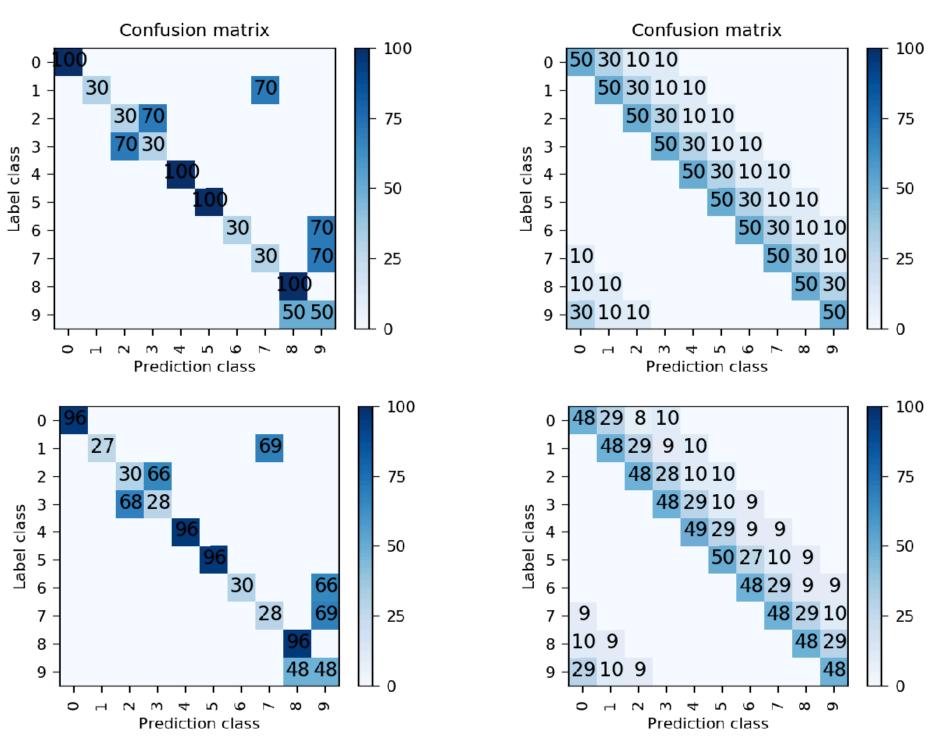




• Confusion matrices are successfully recovered!



### A-Andy

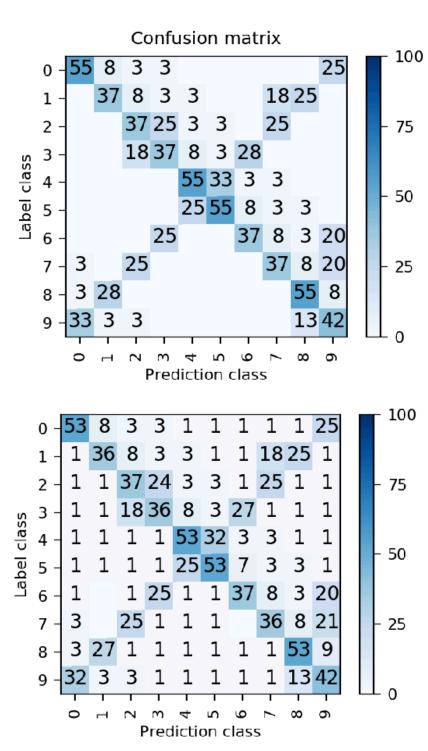


#### Solid C, Carl

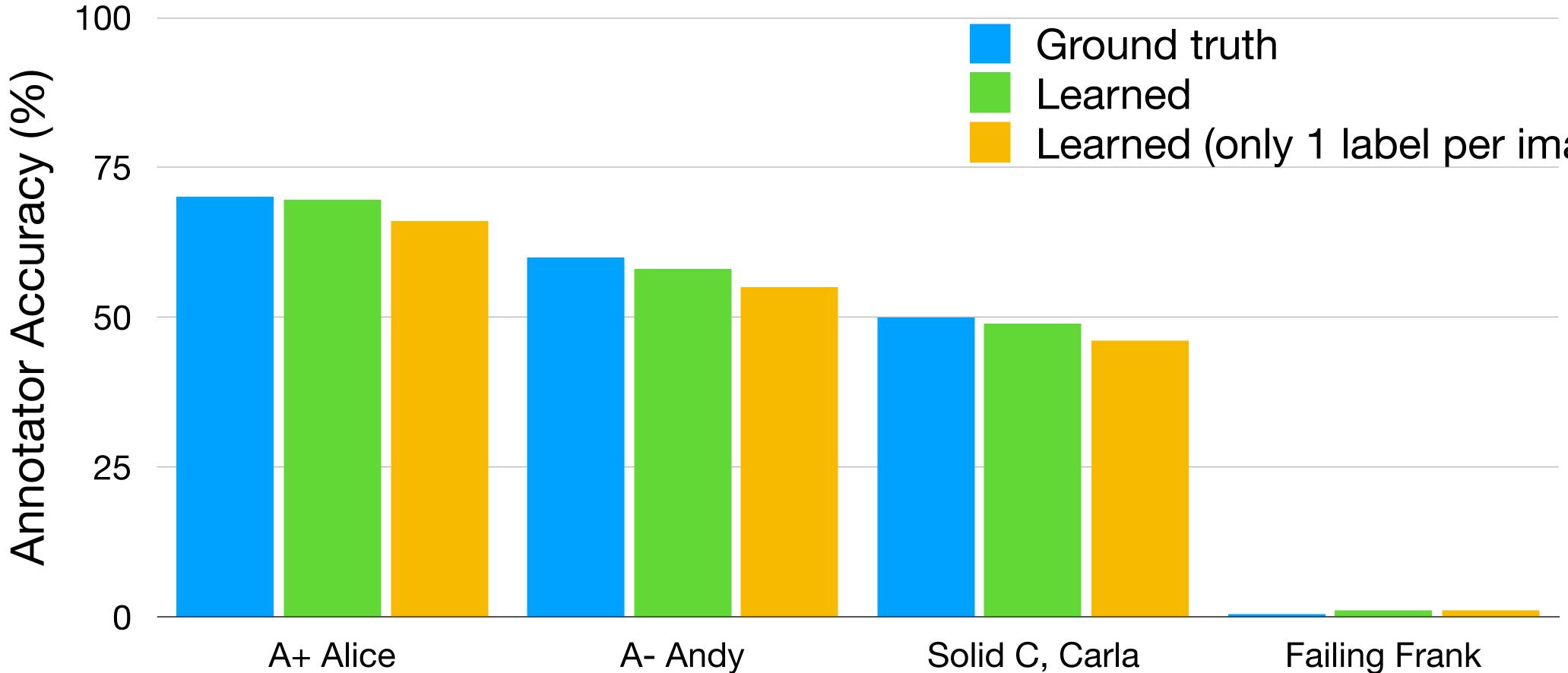
#### **Failing Frank** Confusion matrix 100 75 з с|ass с| 50 a 9 5 25 Prediction class 75 لطام العلم م 4 م 50 - 25 8 G

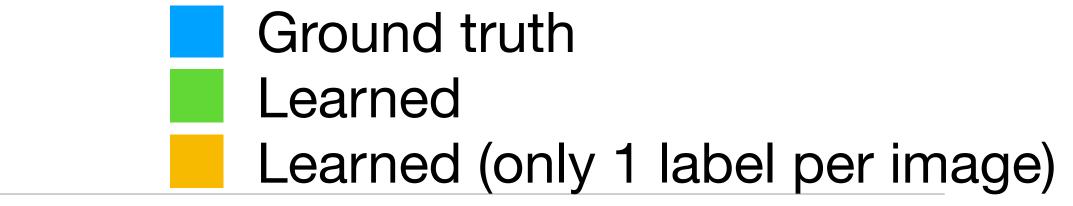
Prediction class

#### **Average**



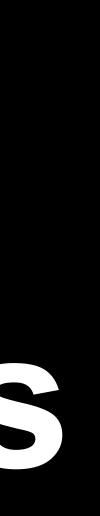
Annotator accuracy are well estimated! Useful for ranking.





Failing Frank

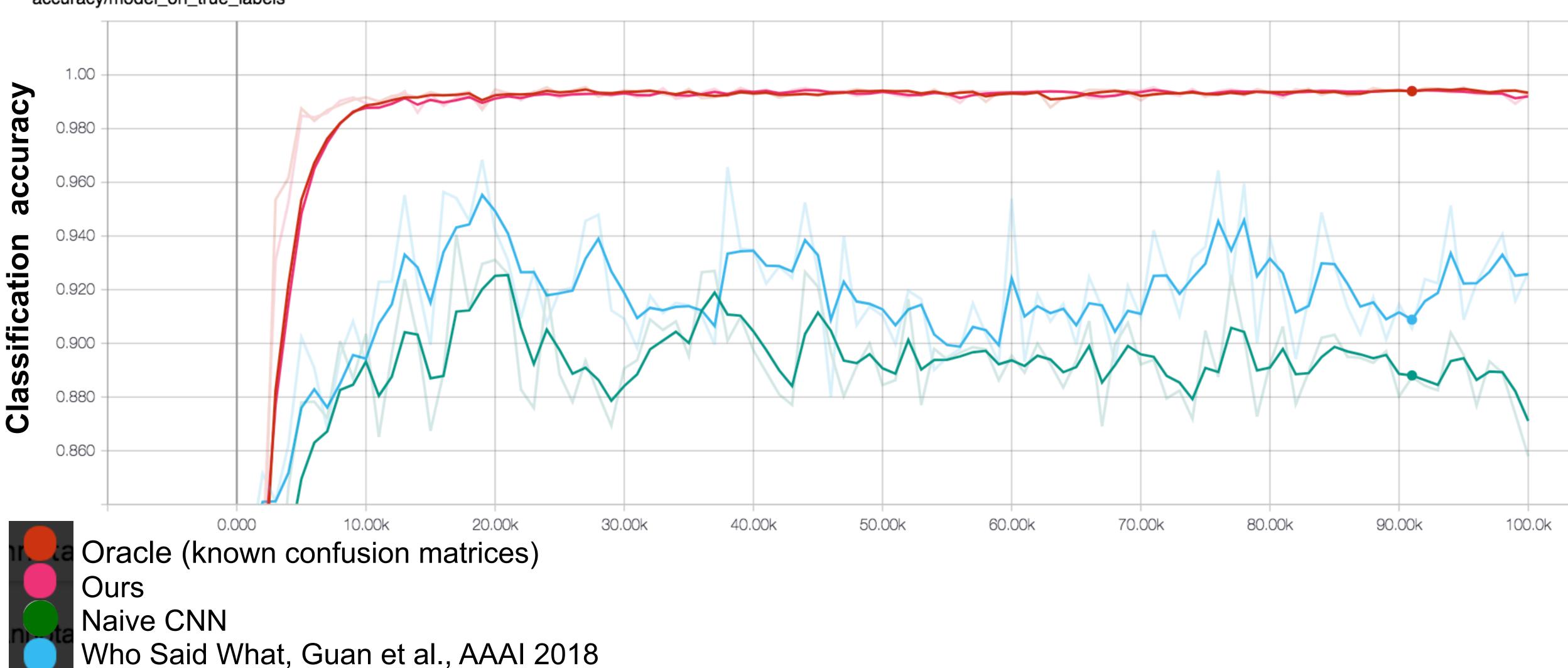
# **Model Prediction Results**



### **Model Performance**

> 99 % classification accuracy, outperforms other models.

accuracy/model\_on\_true\_labels



**Theorem** (motivation for the trace term).

If the average confusion matrix of annotators is **diagonally dominant (D.D.)**, and the cross-entropy term in the loss function is zero, minimising the trace of the estimated confusion matrices **uniquely** recover the true confusion matrices.



Theorem (motivation for the trace term).

If the average confusion matrix of annotators is **diagonally dominant (D.D.)**, and the cross-entropy term in the loss function is zero, minimising the trace of the estimated confusion matrices **uniquely** recover the true confusion matrices.

### Proposed Loss Function $\sum_{i=1}^{N} \sum_{r=1}^{R} \mathbb{1}(\tilde{y}_{i}^{(r)} \in \mathcal{S}(\mathbf{x}_{i})) \cdot \operatorname{CE}(\hat{\mathbf{A}}^{(r)} \hat{\mathbf{p}}_{\theta}(\mathbf{x}_{i}), \tilde{y}_{i}^{(r)})$

))+
$$\lambda \sum_{r=1}^{R} \operatorname{tr}(\hat{\mathbf{A}}^{(r)})$$

where: R = no. of annotators N = no. of samples S(x) = set of available labels for x





**Theorem** (motivation for the trace term).

If the average confusion matrix of annotators is **diagonally dominant (D.D.)**, and the cross-entropy term in the loss function is zero, minimising the trace of the estimated confusion matrices **uniquely** recover the true confusion matrices.

**Proposed Loss Function**  $\sum \sum \mathbb{1}(\tilde{y}_i^{(r)} \in \mathcal{S}(\mathbf{x}_i)) \cdot \operatorname{CE}(\hat{\mathbf{A}}^{(r)} \hat{\mathbf{p}}_{\theta}(\mathbf{x}_i), \tilde{y}_i^{(r)})$ i = 1 r = 1

#### What is diagonal dominance?

Every diagonal entry is larger than any other element in the same row.

))+
$$\lambda \sum_{r=1}^{R} \operatorname{tr}(\hat{\mathbf{A}}^{(r)})$$

where: R = no. of annotators N = no. of samples S(x) = set of available labels for x





**Theorem** (motivation for the trace term).

If the average confusion matrix of annotators is **diagonally dominant (D.D.)**, and the cross-entropy term in the loss function is zero, minimising the trace of the estimated confusion matrices **uniquely** recover the true confusion matrices.

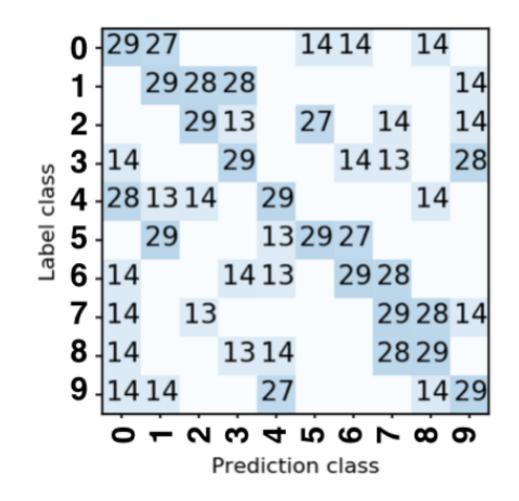
**Proposed Loss Function**  $\sum \sum \mathbb{1}(\tilde{y}_i^{(r)} \in \mathcal{S}(\mathbf{x}_i)) \cdot \operatorname{CE}(\hat{\mathbf{A}}^{(r)} \hat{\mathbf{p}}_{\theta}(\mathbf{x}_i), \tilde{y}_i^{(r)})$ i = 1 r = 1

#### What is diagonal dominance?

Every diagonal entry is larger than any other element in the same row.

))+
$$\lambda \sum_{r=1}^{R} \operatorname{tr}(\hat{\mathbf{A}}^{(r)})$$

where: R = no. of annotators N = no. of samples S(x) = set of available labels for x



diagonally dominant





**Theorem** (motivation for the trace term).

If the average confusion matrix of annotators is **diagonally dominant (D.D.)**, and the cross-entropy term in the loss function is zero, minimising the trace of the estimated confusion matrices **uniquely** recover the true confusion matrices.

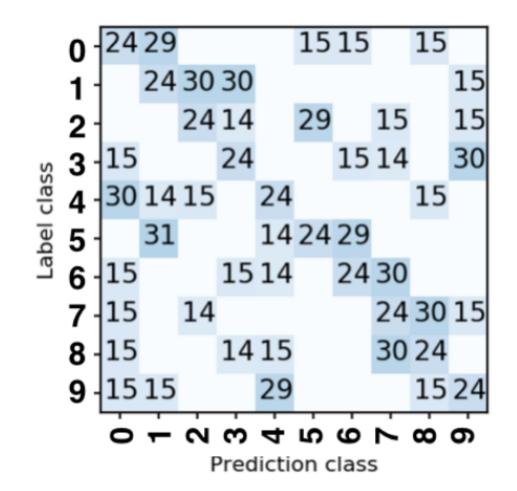
**Proposed Loss Function**  $\sum \sum \mathbb{1}(\tilde{y}_i^{(r)} \in \mathcal{S}(\mathbf{x}_i)) \cdot \operatorname{CE}(\hat{\mathbf{A}}^{(r)} \hat{\mathbf{p}}_{\theta}(\mathbf{x}_i), \tilde{y}_i^{(r)})$ i = 1 r = 1

#### What is diagonal dominance?

Every diagonal entry is larger than any other element in the same row.

))+
$$\lambda \sum_{r=1}^{R} \operatorname{tr}(\hat{\mathbf{A}}^{(r)})$$

where: R = no. of annotators N = no. of samples S(x) = set of available labels for x

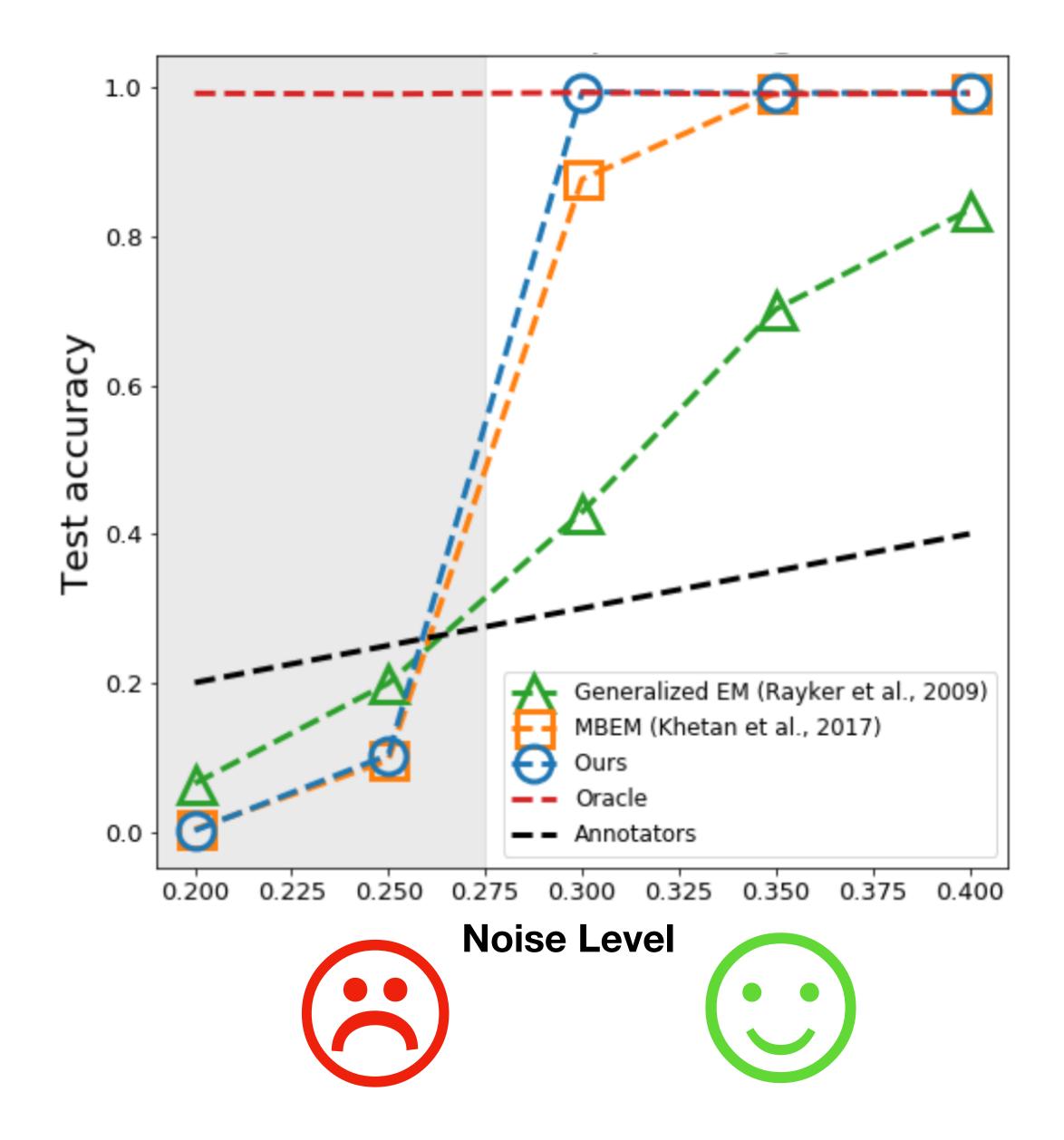


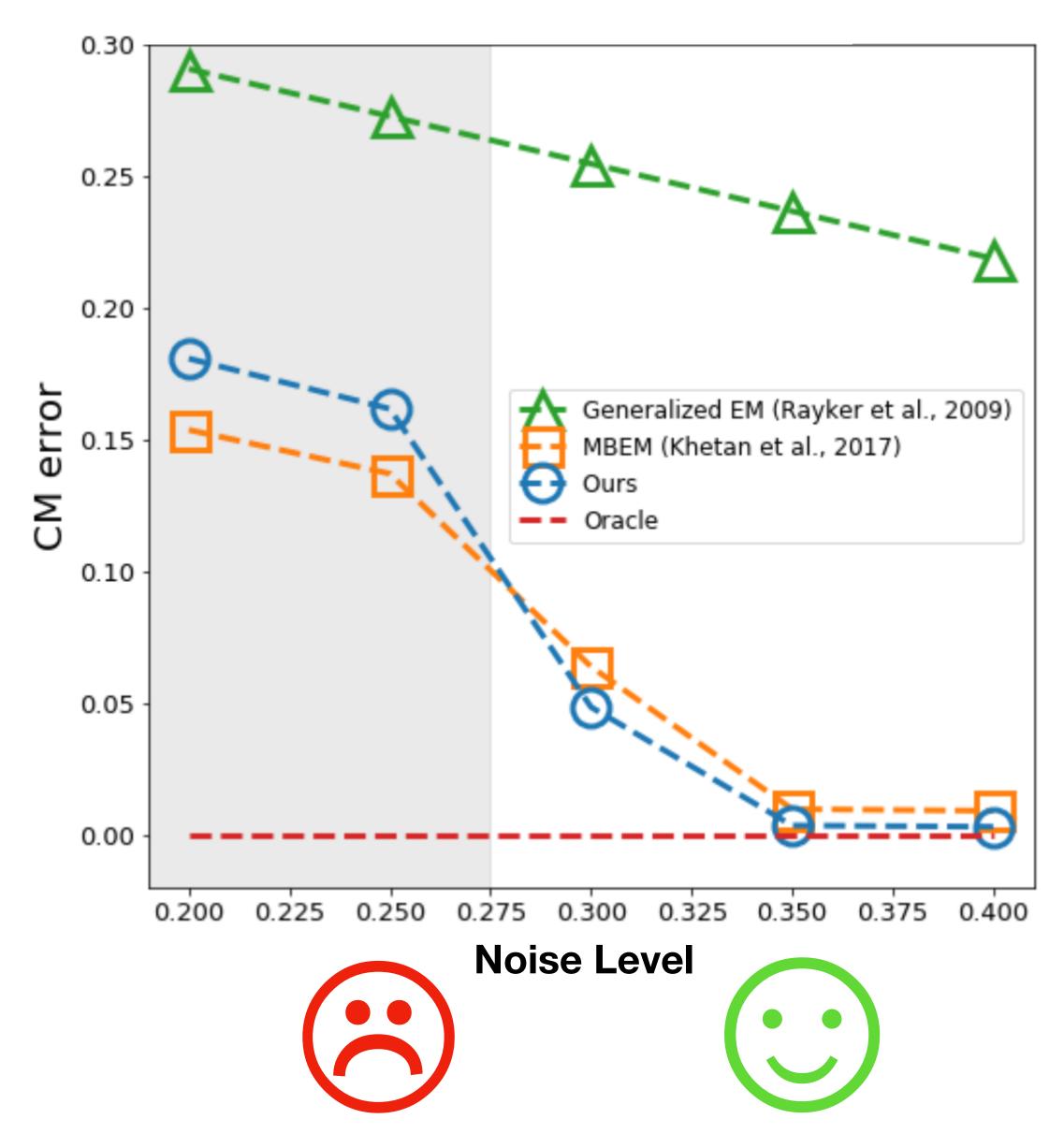
not diagonally dominant



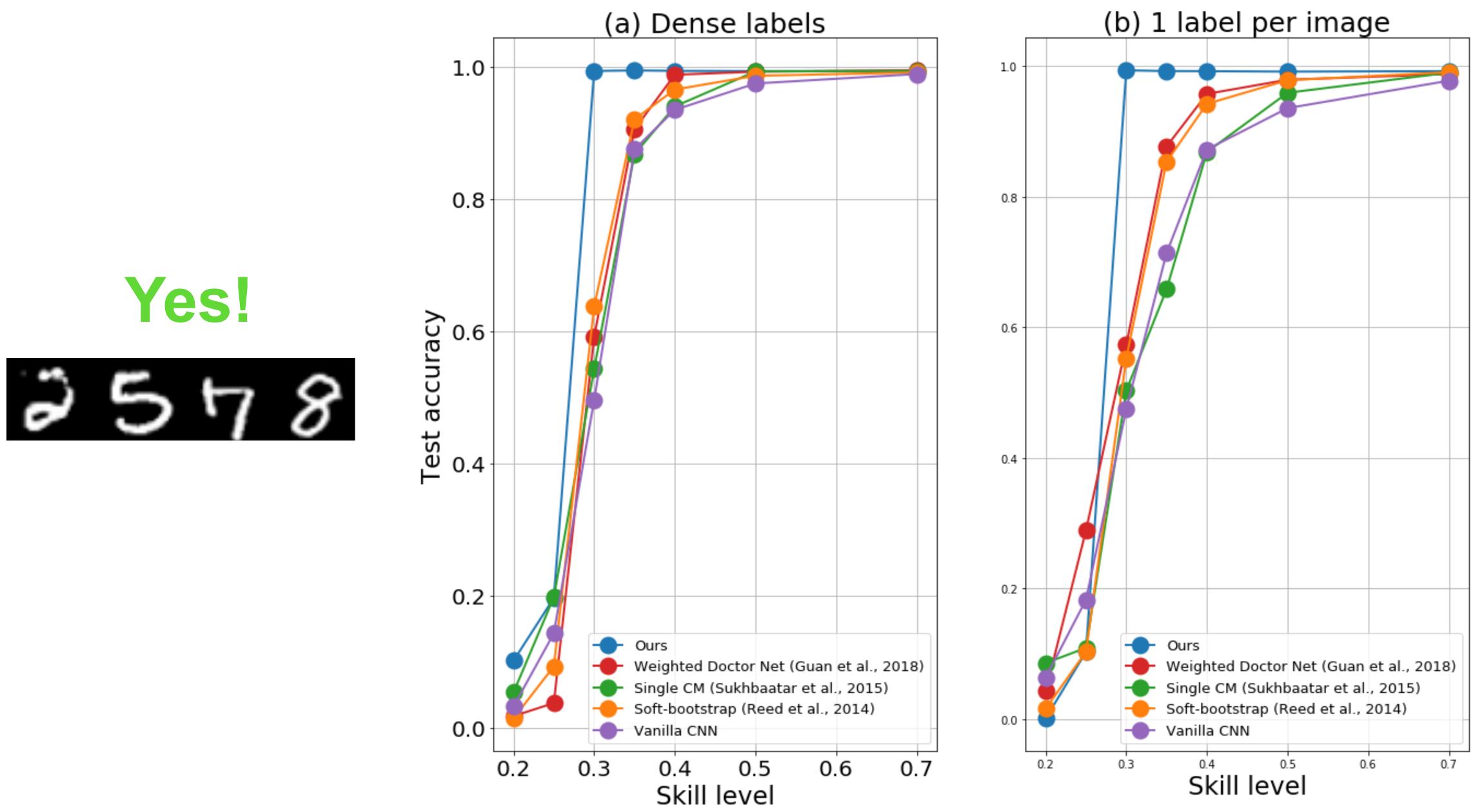








### Is it important model individual annotators?



### Is it important model individual annotators?

Method

Our method Single CM [22] Weighted Doctor Net [24] Soft-bootstrap [21] Vanilla CNN [21]



Accuracy

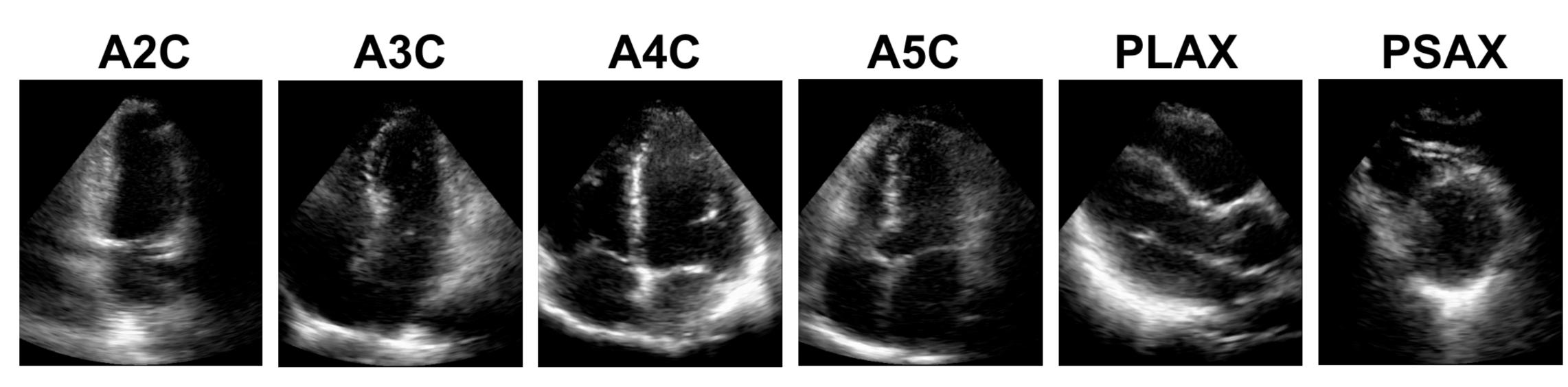
### $\mathbf{81.23} \pm \mathbf{0.21}$

 $68.82 \pm 2.27$  $60.11 \pm 1.80$  $54.73 \pm 1.33$  $52.33 \pm 0.31$ 

# Test on ultrasound data

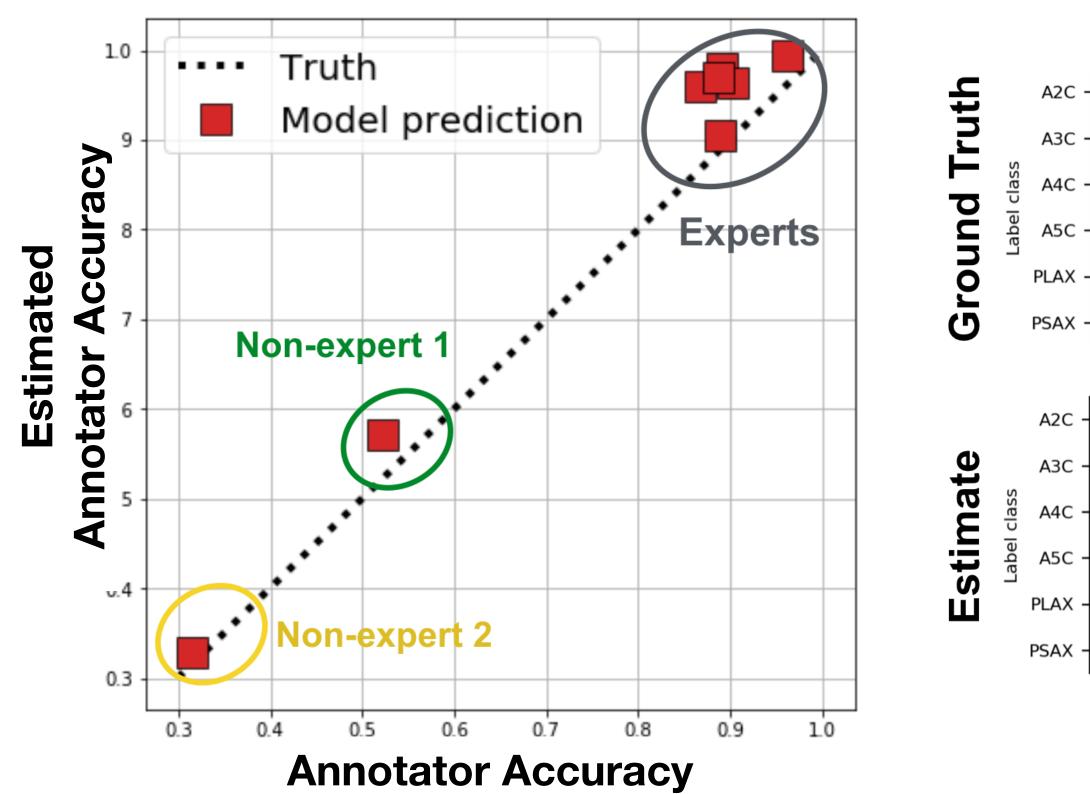
### **Ultrasound Cardiac View Classification**

- 6 classes
- 240,000 training images and 20, 000 test images
- Sparsely labelled by 9 experts + 2 engineers
- Ground truth generated as the unanimous labels from top 3 experts





### **Ultrasound Cardiac View Classification**



Our method

**Naive CNN** 

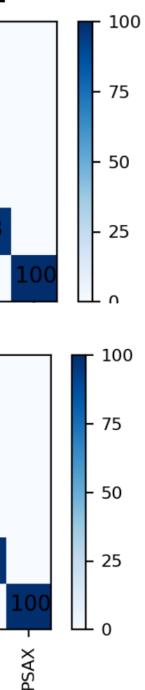
Non-expert 1 Non-expert 2 Expert 1 Expert 2 39 48 20 4 12 3 1 5 3 1 2 11 27 9 25 **61** 13 25 17 34 A2C A4C PLAX SAX A3C A5C A2C A3C A4C A5C PLAX PSAX A2C A3C A5C PLAX A2C A4C A3C A4C A5C PLAX PSAX Prediction class Prediction class Prediction class Prediction class

#### Accuracy (%)

 $75.57 \pm 0.16$ 

 $70.95 \pm 0.44$ 





## Summary

- annotators with different skill levels.
- mistakes.
- Robust performance with sparse labels (which is cheaper)

 One model can simultaneously curate and learn from noisy data, performing better than the state-of-the-art in a very noisy mix of

Successful recovery of confusion matrices, can visualise annotator

## Next Steps

- Account for prior knowledge e.g. expert levels
- Model image dependence of annotators
- Trying to infer different "schools of thoughts"
- Active Learning
- Extend to other tasks e.g. structured prediction?
  - Segmentation errors
  - Geometric errors e.g. misalignment
  - Artefacts in data (e.g. PVEs, motion, etc)

## Acknowledgements



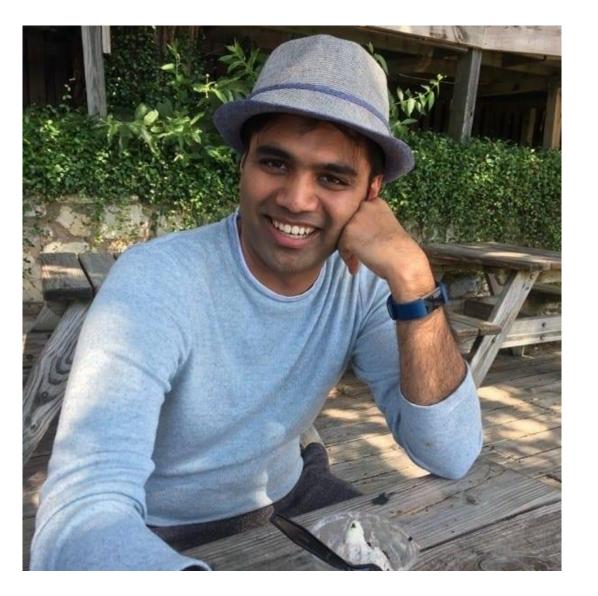


#### Nathan Silberman

Ardavan Saeedi









#### Swami Sankaranarayanan

#### Danny C. Alexander





## danke 謝謝 спасибо obrigado nvala mauru dziękuję Sukriya kop khun krap terima kasih

ngiyabonga **teşekkür ederim** Anural Carling Tag dank je mochchakkeram go raibh maith agat arigato 🚊 dakujem **SuXapio**tú мерси 감사합니다

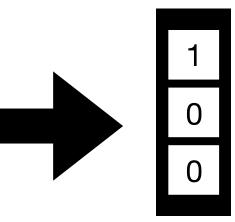
# Can we gauge the difficulty of images?



#### **CNN Classifier**



#### **Predicted label** distribution



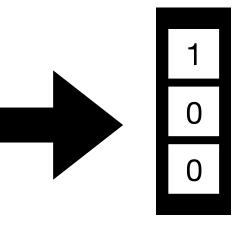


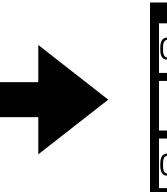
#### **CNN Classifier**





#### **Predicted label** distribution



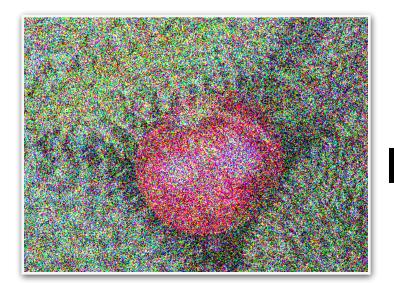






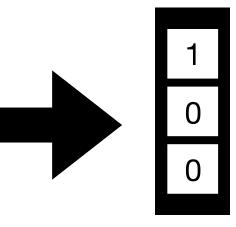
#### **CNN Classifier**

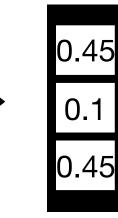


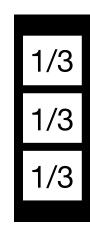




#### **Predicted label** distribution



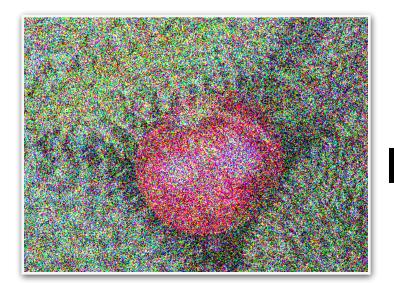


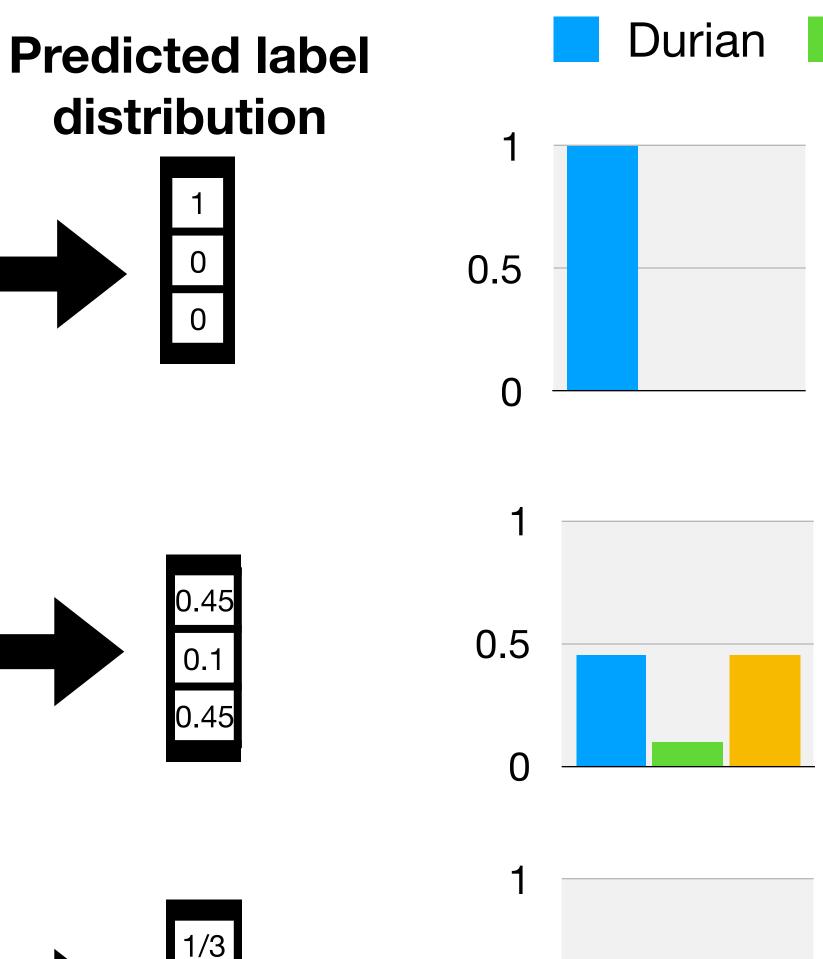




#### **CNN Classifier**







0.5

0

1/3



Jack fruit

" Medium entropy"



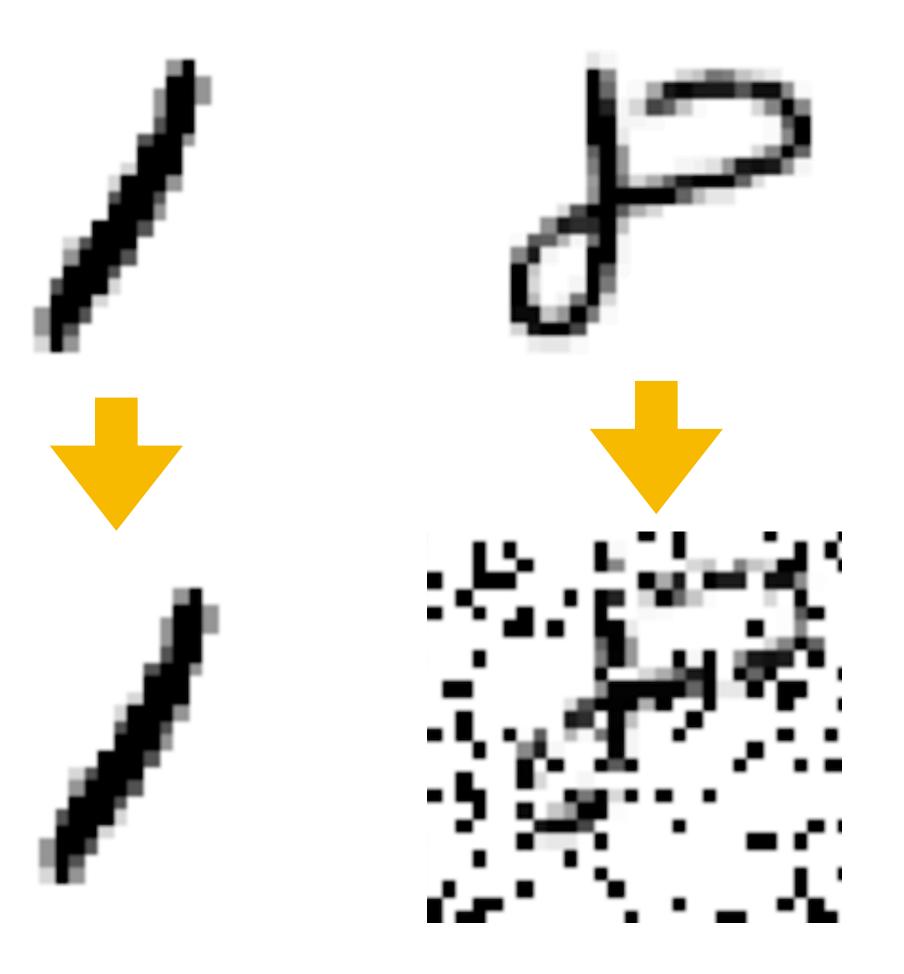




## Quantifying image noise

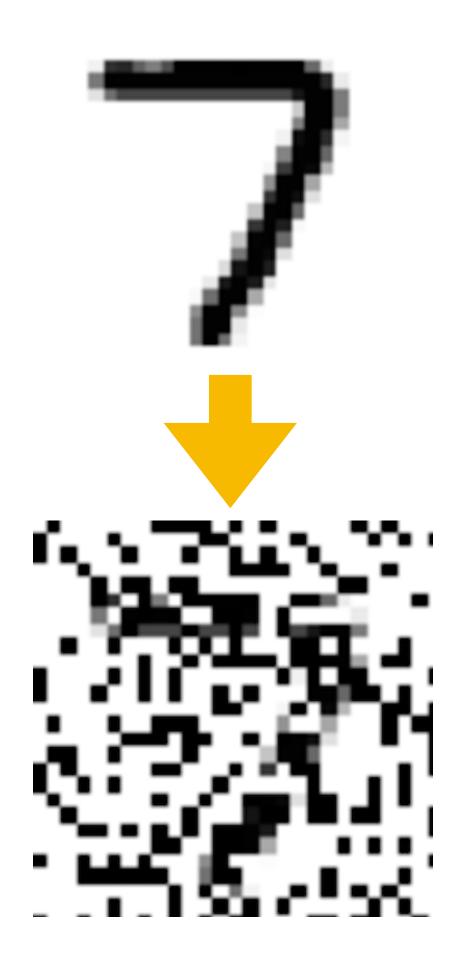
• Make the labelling task more difficult by corrupting images

Noise = 0 % Noise = 30 %

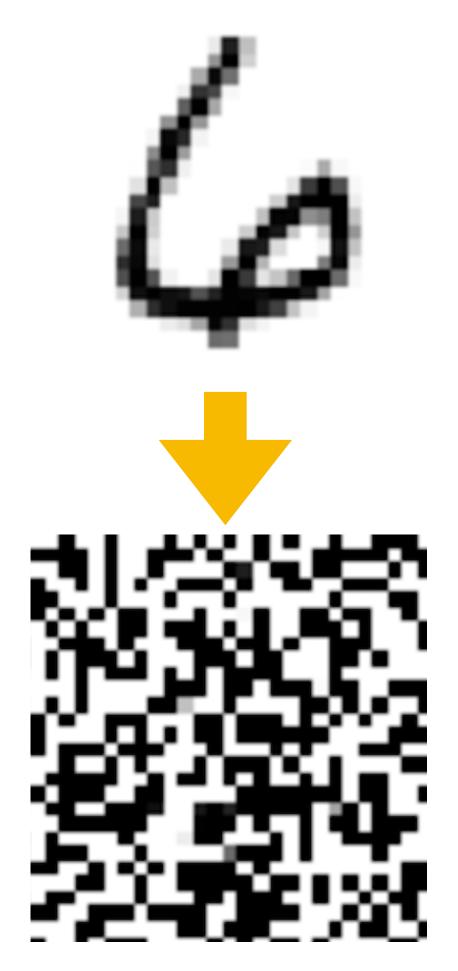




### Noise = 60 %



#### Noise = 90 %



## Quantifying image noise

- Labels are obtained from A+ Alice, A- Andy, Solid C Carl, Failing Frank
- Compare the correlation between image noise level & entropy of label distribution

	Naive softmax	Logit Noise	Loss Attenuation
Ours	0.72	0.83	0.77
Sukhbaatar et al., ICLR'15	0.80	0.81	0.85
Naive CNN	0.79	0.87	0.81

